

ESTABLISHMENT OF DODD-FRANK-COMPLIANT REPORTING AND RECORD-KEEPING PROTOCOLS FOR CROSS-BORDER CORPORATE CLIENT

Hodgson Russ represented a corporate client in establishing Dodd-Frank-compliant reporting and record-keeping protocols. The client uses physically settled and financially settled derivatives to hedge its financial exposures to fluctuating prices. Although Dodd-Frank provides for certain exceptions to corporate “end users,” the cross-border nature of the client required a customized approach to derivatives regulations.

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