

## RECORD CLEARED, AWARD OBTAINED FOR BROKER ACCUSED OF BREACH OF FIDUCIARY DUTY

In a case involving claims of fraud, negligence, and breach of fiduciary duty against a securities broker, Hodgson Russ attorneys obtained an award after hearing from a Financial Industry Regulatory Authority (FINRA) arbitration panel dismissing all claims and expunging the complaint from the broker's record.

## Attorneys

Litigation

Catherine Grantier Cooley

## Practices & Industries

Business Litigation
Financial Services
Securities/Directors & Officers