

STA ANNUAL CONFERENCE HIGHLIGHTS 2018

Sarasota, Florida
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Attorneys

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STA President, Todd J. May, will discuss recent and ongoing STA initiatives, and will describe our continuing dialogue with the SEC Chairman, Commissioners and senior staff. The STA continues to closely follow the SEC's thoughts on the timing of the revision of the transfer agent rules and other activities such as the potential re-release of the 2010 Proxy Concept Release, that could impact transfer agents, and will keep members advised. He will also discuss our work with other organizations and dialogue with state legislatures aimed at protecting shareholders from new escheatment regulations in which legislatures may not understand the full impact to their constituents. The STA continues to be an active advocate for its members, (and the issuers and shareholders they serve), with regulators and governmental officials. This session will bring you up to date.

GDPR: This recent regulation passed by the European Union is impacting U.S. transfer agents and issuers in significant ways. Is your organization in compliance? Gary Schober, with Hodgson Russ LLP and David L. Becker, Chief Compliance Officer for EQ by Equinity will discuss what this regulation actually requires and the operational implications for transfer agents.

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