

CORPORATE GOVERNANCE & COMPLIANCE

Hodgson Russ advises public and private companies, nonprofit organizations, boards of directors, and executives on best practices in corporate governance and regulatory compliance. We possess extensive experience with financial institutions, securities matters, business litigation and bankruptcy. This experience allows us to give our clients depth of insight and perspective regarding corporate governance matters.

Our attorneys are thoroughly versed in the myriad issues and regulations applicable to the operations of corporate boards and the conduct of their directors, including compliance with the Sarbanes-Oxley Act, the Dodd-Frank Wall Street Reform and Consumer Protection Act, Securities and Exchange Commission (SEC) rules, and other related legislation.

We assist corporate clients in developing charters, bylaws, and compliance policies; in performing board self-assessments and in creating board structure.

Hodgson Russ attorneys provide advice on fiduciary duties, liability issues, and executive compensation matters. We respond to inquiries from the SEC and other government agencies and assist in conducting internal investigations related to compliance violations, fraud allegations, and whistleblower claims.

Hodgson Russ has been awarded a prestigious “Best Law Firms” Regional ranking by *Best Lawyers/U.S. News & World Report* in the Corporate Compliance Law and Corporate Governance Law category.

OFFICES

- ALBANY** • 677 Broadway, Suite 401 • Albany, NY 12207 • 518.465.2333
- BUFFALO** • The Guaranty Building • 140 Pearl Street, Suite 100 • Buffalo, NY 14202 • 716.856.4000
- HACKENSACK** • 25 Main Street, Suite 605 • Hackensack, NJ 07601 • 212.751.4300
- GREENSBORO** • 7 Corporate Center Court, Suite B • Greensboro, NC 27408 • 336.271.4014
- NEW YORK** • 605 Third Avenue, Suite 2300 • New York, NY 10158 • 212.751.4300
- PALM BEACH** • 440 Royal Palm Way, Suite 202 • Palm Beach, FL 33480 • 561.656.8608
- ROCHESTER** • 90 Linden Oaks, Suite 110 • Rochester, NY 14625 • 585.613.3939
• 1800 Bausch and Lomb Place • Rochester, NY 14604 • 585.454.0700
- SARATOGA SPRINGS** • 60 Railroad Place, Suite 300 • Saratoga Springs, NY 12866 • 518.736.2900
- TORONTO** • 22 Adelaide Street West, Suite 2050 • Toronto, ON M5H 4E3 Canada • 416.595.5100

Practice restricted to U.S. law

CONTACT

John Zak

716.848.1253

jzak@hodgsonruss.com

PROFESSIONALS

ATTORNEYS

Christine Bonaguide

David Bradley

Matthew Cameron

Thomas Collura

Craig Fischer

Julia Hilliker

Timothy Ho

Cristin Murray

Patricia Sandison

Gary Schober

Paul Steinig

Valerie Stevens

Brendan Stone

Janet Tasigianis

John Zak

PARALEGAL

Rebecca Freidman

Meredith McCarthy

Hodgson Russ's extensive financial institutions and securities practices and experience in business litigation and bankruptcy contribute insight and perspective to our corporate governance practice.